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13. ABSTRACT (Maximum 200 words)

Cyclodextrin inclusion complexes were formed from p-nitroanilines and aniline analogs as well as from selected bimanes. Only in very few instances did complexation with cyclodextrins increase the second harmonic generation when compared to the parent compound (aniline/analog or bimane). Solid state UV reflectance spectroscopy was found to be a viable method for differentiating between solid cyclodextrin-guest inclusion complexes and cyclodextrin-guest physical admixtures. Isobestic points could be determined by solution UV absorption spectroscopy for a number of p-nitroaniline/analog aqueous solutions containing cyclodextrins. The TLC characteristics of p-nitroanilines and their analogs were greatly affected by the presence of cyclodextrins in the mobile phase. Stability constants were calculated from the TLC data.

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| harmonic generation | <pre>ines, aniline analogs, , inclusion complexes, , isobestic points.</pre> | bimanes, second fluorescence, UV, | 15. NUMBER OF PAGES 39 16. PRICE CODE |
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SECOND HARMONIC GENERATION FROM CYCLODEXTRIN INCLUSION COMPLEXES

FINAL REPORT

INCORPORATING THE REPORT FOR THE PERIOD 1 JANUARY 1994 - 31 DECEMBER 1994

DR. IEVA RUKS POLITZER

MARCH 30, 1995

U. S. ARMY RESEARCH OFFICE

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XAVIER UNIVERSITY OF LOUISIANA

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STATEMENT OF THE PROBLEM STUDIED

During the course of this project, we studied the effects of cyclodextrin inclusion complexation on various p-nitroanilines and their analogs as well as on selected bimanes. Solid inclusion complexes were prepared and examined for second harmonic generation by laser frequency doubling techniques. In the case of p-nitroanilines/analogs, inclusion complexes were prepared with α - and β -cyclodextrins. Only in very few instances did the complexation with cyclodextrins increase the second harmonic generation when compared to the parent compound. In the case of bimanes, inclusion complexes were prepared with β -cyclodextrin. In most cases, the second harmonic generation was only slightly increased by the complexation, as compared to the parent bimane itself. However, it was noted that for some of the bimanes, the second harmonic generation was initially considerably higher for the inclusion complex, but then decayed rapidly to the values reported.

Extensive studies were performed on the effects of β -, α -, γ - and hydroxypropyl- β -cyclodextrins on the thin layer chromatography of p-nitroanilines and their analogs using both silica gel and polyamide plates. In many cases, the presence of the cyclodextrins in the mobile phase resulted in dramatic changes on the TLC behavior of these compounds. Stability constants for the inclusion complexes were calculated from the TLC data.

The solid inclusion complexes were also examined by solid state UV reflectance spectroscopy. This spectroscopic method was found to be a viable means for differentiation between solid cyclodextrin-guest inclusion complexes and cyclodextrin-guest physical admixtures. Solution UV absorption spectroscopy was used to determine isobestic points for a number of p-nitroanilines and their analogs in aqueous solutions containing varying concentrations of cyclodextrins.

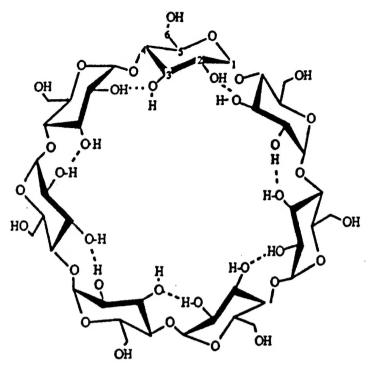
SUMMARY OF THE MOST IMPORTANT RESULTS

- I. WORK WITH P-NITROANILINE AND ITS ANALOGS: COMPLEXES WITH CYCLODEXTRINS
 - 1. Thin layer chromatography of p-nitroanilines and their analogs with cyclodextrins in the mobile phase

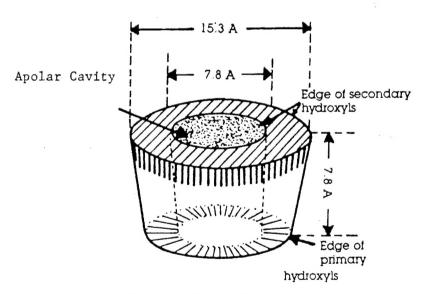
Cyclodextrins (CDs) are a homologous series of cycloamylases which are known for their ability to form inclusion complexes with a wide variety of guest molecules. They are extensively used as stationary phase components in gas chromatography as well as stationary or mobile phase additives in liquid chromatography. The modest aqueous solubility of β -CD has somewhat limited its chromatographic applicability as a mobile phase component. However, the introduction of urea as a solubilizing agent for aqueous CD solutions, as well as the increased availability of substituted CDs, has opened the way for more extensive TLC applications. Figure 1 shows the chemical and dimensional structures for β -CD and the dimensions for the α - and γ -CDs.

Earlier work had indicated probable inclusion complexation between certain pnitroanilines and CDs which resulted in induced second harmonic generation from the inclusion complexes, It seemed probable that the TLC characteristics of pnitroanilines and their analogs would also be affected by CDs in the mobile phase. In this work, TLC studies were performed on p-nitroaniline (1), N-alkyl substituted-pnitroanilines (2 and 3) and p-nitroaniline analogs with either the nitro-group or the aminogroup replaced by other electron withdrawing or electron donating substituents respectively (4 - 2). Analogs also included 1-amino-4-nitronaphthalene (10), 4-amino-4'nitrodiphenyl sulfide (11), 2-amino-6-nitrobenzothiazole (12) and 4-acetamidophenol (13). The structures for these p-nitroanilines and their analogs are shown in Figure 2. Both polyamide and silica gel were examined as solid support materials for the TLC plates. Aqueous mobile phases were used which contained alpha-, beta-, gamma- or hydroxypropyl-beta-CDs in the mobile phase. Urea was present as a solubilizer for the CDs, as needed. The effects of the CDs were studied on the TLC characteristics of the above mentioned p-nitroanilines and their analogs.

A comprehensive list of all of the p-nitroanilines and their analogs which were examined as guest compounds for the entire project is found in Table 1.



CHEMICAL STRUCTURE OF β -CYCLODEXTRIN



DIMENSIONAL STRUCTURE OF β -CYCLODEXTRIN

MOLECULAR DIMENSIONS OF CYCLODEXTRINS

| | <u> </u> | β | <u> </u> |
|----------------|--------------------|--------------------|--------------------|
| OUTER DIAMETER | 13.7A ^o | 15.3A ^o | 16.9A ⁰ |
| INNER DIAMETER | 5.7A ^o | 7.8A ^O | 9.5A ⁰ |

FIGURE 1. STRUCTURES AND DIMENSIONS OF CYCLODEXTRINS.

$$2 R = CH_3$$

$$R = CH_2CH_3$$

$$4 R' = OCH_3$$

$$R' = CH_3$$

$$R' = CH_3$$

 $R'' = COC_6 H_5$

R" = COOH

R'' = NC

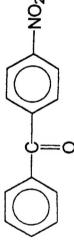
4-AMINO-4'-NITRODIPHENYL SULFIDE

1-AMINO-4-NITRONAPHTHALENE

2-AMINO-6-NITROBENZO-THIAZOLE

à

Ċ



4-ACETAMIDOPHENOL NH -- C--CH₃

FIGURE 2. STRUCTURES OF SELECTED P-NITROANILINES AND THEIR ANALOGS.

4- NITROBENZOPHENONE

R' = CI12 R = CI

 \overline{N}

TABLE 1. A LISTING OF SELECTED P-NITROANILINES AND THEIR ANALOGS USED IN THIS STUDY.

| CPD# | COMPOUND NAME |
|----------|----------------------------------|
| | PNA |
| 7 | N-MPNA |
| 8 | N-EPNA |
| 4 | 4-NITROANISOLE |
| S. | 4-AMINOBENZONITRILE |
| 9 | 4-NITROPHENOL |
| 7 | 4-AMINOBENZOIC ACID |
| ∞ | 4-NITROTOLUENE |
| 6 | 4-AMINOBENZOPHENONE |
| 10 | 1-AMINO-4-NITRONAPTHALENE |
| = | 4-AMINO-4'-NITRODIPHENYL SULFIDE |
| 12 | 2-AMINO-6'-NITROBENZOTHIAZOLE |
| 13 | 4-ACETAMIDOPHENOL |
| 14 | 4-NITROBENZOPHENONE |
| 15 | 2-METHYL-4-NITROANILINE |
| 91 | 2-CHLORO-4-NITROANILINE |
| 17 | 2,6-DICHLORO-4-NITROANILINE |

Experimental

Alpha(α)-, beta(β)-, gamma(γ)-cyclodextrin (Advanced Separation Technologies, Whippany, NJ), hydroxypropyl-b-cyclodextrin, urea and all p-nitroanilines and their analogs (Aldrich Chemical Co., Milwaukee, WI), and solvents (Fisher Scientific Co., Raleigh, NC) were used as received without further purification. In-house demineralized water was used to prepare all aqueous solutions. The thin layer chromatography plates employed included Baker-flex polyamide 6-F (20 x 20 cm) and Baker-flex silica gel 1B-F (20 x 20 cm) plates. These plates were used as received. The mobile phase and stock solutions were prepared as described previously. For spotting, a few microliters of the solute stock solutions were applied 2 cm from the lower edge of the plates. Ascending thin layer chromatography was performed in 27 x 8 x 25 cm rectangular chambers which were lined with mobile phase-soaked filter paper. The final positions of the solutes were located under UV-Vis light (Mineralight UVSL-58). Most of these compounds moved as distinct spots thus facilitating determination of retardation factors. The retardation factor (Rt) values were calculated using the formula:

Rf = distance compound travels/distance solvent travels.

Corresponding capacity factor (k') values were calculated using the relationship:

$$k' = (1 - R_f)/R_f$$
.

The retardation factors were then related to the [CD] using the following equation (6):

$$R_t / (1 - R_t) = (V_m / W_s) (1 / k'') [K_b [CD] + 1]$$

where: R + - the retardation factor

V_m - the volume of the mobile phase

Ws - the weight of the adsorbent in the bed

k" - the coefficient for the distribution of the solute between the bulk water of the mobile phase and the adsorbent of the stationary phase

Kb - the equilibrium binding (stability) constant for the solute-CD complex formed in the mobile phase (1:1 complexation)

Results and Discussion

Earlier work in our laboratories had shown that cyclodextrins (CDs) can be used to enhance the migration of various laser dyes on thin layer chromatography (TLC), In this study, we examined the effect of CDs on the TLC characteristics of selected p-nitroanilines and p-nitroaniline analogs. There did not appear to be any particular correlation between electron withdrawing or donating substituents on the p-nitroaniline analogs and their ability to influence chromatography or binding constants. Alpha-, beta-, gamma- and hydroxypropyl-beta-CDs were individually added (0.1 M CD concentration) to the aqueous urea mobile phases (8M urea with a-CD and 4M urea with all other CDs). Commercially available silica gel and polyamide plates served as solid supports.

The retardation factor (R_f) values were calculated and the results are shown in Table 2 for TLC on silica gel plates and in Table 3 for TLC on polyamide plates. With very few exceptions, mobile phases with CDs present (0.1M CD) resulted in enhanced migration for the compounds examined as compared to the migration of these compounds with urea only in the mobile phase. This suggests complexation between the p-nitroanilines and their analogs with most of the CDs examined.

Furthermore, the presence of urea in the mobile phase in combination with CDs (0.1M CD) was found to generally increase retardation factors for the p-nitroanilines and their analogs over those obtained with CDs only in the mobile phase. Figure 3 displays in bar-graph form the effects of urea on the R₁ of p-nitroaniline (1) with various cyclodextrins (0.1M CD) in the mobile phase.

The retardation factors for the p-nitroanilines and their analogs were also found to vary with the concentration of the CD in the mobile phase. This is illustrated in Table # for compounds 1 - 3 using β -CD : 4 M urea in the mobile phase on silica gel as well as on polyamide plates. The β -CD concentration was varied over the range of 0 - 0.1 M. As can be seen, increased CD concentration in the mobile phase lead to increased compound

| CPD4 O M CD O M CD - Alpha CD Deta CD - Bunna CD Bunna CD - Indroxypropyl BCD # SM UREA 4M UREA AM UREA | | | OF p-N | OF p-NITROANILINES | | AND THEIR ANALOGS ON SHICA GEL TI C PLATES | ANALOGS | ON SILICA | GEL TIC | DI ATEC | | |
|--|--------|----------|----------|--------------------|-------|--|---------|-----------|----------|---------|----------|----------|
| A OM CD O M CD O-IM CD | • | | | | ! ! | 18 CD | beta | CD | UKO UKO | Ima CD | hydroxym | DCD |
| RIADINEA AM UREA MOUREA BM UREA NOUREA AM UREA NOUREA SMUREA LAW UREA NOUREA SMUREA NOUREA SMUREA NOUREA SMUREA NOUREA | cbd | | OMCD | | • | 0.1M CD | 0.1M CD | 0.1M CD | 0.1M CD | O IM CD | o in Cib | opyr bCD |
| K 0.56 0.47 0.81 0.91 0.71 0.86 0.62 0.73 0.70 K 0.38 0.25 0.86 0.923 0.10 0.41 0.16 0.61 0.73 0.70 K 1.63 2.85 0.16 0.02 0.75 0.14 0.12 0.72 0.73 K 0.18 0.15 0.77 0.80 0.42 0.64 0.20 0.75 0.14 0.12 0.72 0.73 0.62 K 0.18 0.15 0.77 0.80 0.42 0.64 0.20 0.75 0.84 0.75 0.72 0.75 0.72 0.72 0.72 0.75 0.89 0.75 0.89 0.75 0.84 0.90 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.89 0.75 0.8 | # | 1. | 8M UREA | 4M UREA | | 8M UREA | | 4M UREA | NO LIREA | | NO LIBEA | |
| K 0.79 1.13 0.23 0.10 0.41 0.16 0.61 0.37 0.43 K 0.38 0.26 0.86 0.92 0.57 0.88 0.32 0.58 0.75 K 1.63 0.15 0.16 0.09 0.75 0.14 2.12 0.73 0.43 K 4.56 5.67 0.30 0.25 1.38 0.64 4.00 0.33 0.62 K 0.00 0.08 0.39 0.39 0.00 | profit | Z | 0.56 | 0.47 | | 0.91 | | 0.86 | 0 62 | | O ZO | 7 |
| K 0.38 0.26 0.86 0.92 0.57 0.88 0.32 0.27 0.43 K 1.63 2.85 0.16 0.09 0.75 0.88 0.32 0.57 0.39 K 4.018 0.17 0.89 0.42 0.64 0.20 0.23 0.62 K 0.00 0.08 0.39 0.00 0.00 0.00 0.00 0.00 K 0.01 0.08 0.39 0.00 0.00 0.00 0.00 0.00 0.00 K 0.01 0.02 0.03 0.02 0.00 0.00 0.00 0.00 0.00 K 0.01 0.02 0.03 0.02 0.00 | - | ¥ | 0.79 | 1.13 | 0.23 | 0.10 | 0.41 | 910 | 190 | 0.37 | 0.70 | 78.0 |
| K 1 63 2 85 0.16 0.09 0.75 0.14 2.12 0.30 0.75 K 0.18 0.15 0.77 0.80 0.75 0.64 0.20 0.23 0.62 K 4.56 5.67 0.30 0.25 1.38 0.56 4.00 3.35 0.61 K 0.00 0.08 0.39 0.00 0.00 0.00 0.00 0.00 0.00 0.01 0.61 K 0.41 0.68 0.84 0.90 0.75 0.89 0.79 0.83 0.79 K 0.41 0.45 0.19 0.10 0.11 0.33 0.12 0.20 0.00 | 7 | ~ | 0.38 | 0.26 | 0.86 | 0.92 | 0.57 | 0.88 | 0.32 | 0.50 | 0.4.3 | 77.0 |
| KI 0.18 0.15 0.77 0.80 0.42 0.74 0.74 0.72 0.73 0.62 KI 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 KI 0.00 0.08 0.39 0.75 0.89 0.79 0.83 0.75 KI 0.71 0.69 0.84 0.90 0.75 0.89 0.79 0.83 0.75 KI 0.33 0.58 0.75 0.62 0.69 0.89 0.79 0.83 0.75 KI 0.33 0.72 0.85 0.62 0.69 0.85 0.75 0.83 0.75 KI 0.34 0.94 0.96 0.85 0.85 0.75 0.83 0.75 KI 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 KI 0.24 0.13 0.02 0.00 0.00 0.00 0.00 < | | 7 | 1.63 | 2.85 | 0.16 | 60 0 | 0.75 | 0.00 | 20.00 | 0.08 | 0.72 | 0.77 |
| K 4.56 5.67 0.30 0.25 0.34 0.04 0.21 0.62 R 0.00 0.08 0.68 0.39 0.25 0.34 0.06 0.00 0.00 0.01 0.01 K 0.00 0.08 0.68 0.39 0.09 0.07 0.00 | 3 | Z | 0.18 | 0.15 | 0 77 | 0.80 | 0.42 | 0.64 | 71.7 | 0.72 | 0.39 | 0.30 |
| Rf 0.00 0.08 0.68 0.39 0.20 0.00 0 | | 7 | 4.56 | 5.67 | 0.30 | 0.25 | 24.0 | 0.04 | 0.20 | 0.23 | 0.62 | 0.07 |
| K 0.01 0.647 1.56 0.05 0.00 0.00 0.00 0.00 K 0.71 0.69 0.84 0.90 0.75 0.89 0.79 0.83 0.79 K 0.41 0.45 0.19 0.11 0.33 0.12 0.27 0.20 0.79 K 0.41 0.45 0.19 0.11 0.33 0.62 0.69 0.85 0.68 0.77 0.01 K 0.33 0.72 0.33 0.62 0.69 0.85 0.68 0.77 0.01 K 0.14 0.19 0.04 0.06 0.04 0.11 | 4 | Z | 00.00 | 0.08 | 0.68 | 0.39 | 00.0 | 0.00 | 4.00 | 5.50 | 19.0 | 13.29 |
| Rf 0.71 0.699 0.84 0.90 0.75 0.89 0.79 0.83 0.79 K 0.41 0.45 0.19 0.11 0.33 0.12 0.27 0.20 0.79 K 0.41 0.45 0.62 0.69 0.85 0.68 0.77 0.01 K 2.03 0.72 0.94 0.96 0.87 0.96 0.87 0.96 0.77 0.01 K 0.14 0.19 0.06 0.04 0.15 0.04 0.11 0.04 0.11 0.04 0.11 0.01 0.00 K 0.10 0.00 <th></th> <th>.</th> <td>a spings</td> <td>11.50</td> <td>0.47</td> <td>1.56</td> <td>20.5</td> <td>00.0</td> <td>0.00</td> <td>000</td> <td>0.61</td> <td>89.0</td> | | . | a spings | 11.50 | 0.47 | 1.56 | 20.5 | 00.0 | 0.00 | 000 | 0.61 | 89.0 |
| K 0.41 0.45 0.19 0.11 0.13 0.07 0.83 0.77 0.79 K1 0.33 0.58 0.75 0.62 0.69 0.85 0.07 0.20 0.77 K1 2.03 0.72 0.33 0.62 0.69 0.85 0.68 0.77 0.01 K1 0.88 0.84 0.94 0.96 0.87 0.96 0.90 | v, | R | 0.71 | 69.0 | 0.84 | 06.0 | 0.75 | 000 | 96.0 | | 100 | ().47 |
| KI 0.33 0.58 0.75 0.65 0.69 0.85 0.20 0.20 0.27 K 2.03 0.72 0.65 0.65 0.65 0.69 0.85 0.68 0.77 0.01 K 2.03 0.72 0.33 0.65 0.65 0.69 0.87 0.96 0.99 | | . | 0.41 | 0.45 | 0.19 | 0 11 | 0.73 | 0.89 | 0.79 | 0.83 | 0.79 | 68'() |
| K; 2.03 0.72 0.33 0.62 0.45 0.18 0.45 0.07 0.01 0.01 0.04 0.05 0.045 0.08 0.07 0.01 0.02 | 9 | R | 0.33 | 0.58 | 0.75 | 0.62 | 0.50 | 0.05 | 0.27 | 0.20 | 0.27 | 0.12 |
| Rí 0.88 0.88 0.84 0.94 0.96 0.45 0.18 0.45 0.30 99.00 Ki 0.18 0.18 0.04 0.09 0.04 0.05 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.09 0.00< | | ¥ | 2.03 | 0.70 | 0.33 | 0.62 | 0.03 | 0.83 | 0.68 | 0.77 | 0.01 | 16'0 |
| K 0.14 0.19 0.094 0.87 0.96 0.90 0.91 0.81 KI 0.14 0.19 0.06 0.04 0.15 0.04 0.11 0.10 0.23 KI 0.24 0.13 0.00 0.00 0.00 0.00 0.00 0.00 0.00 KI 0.24 0.13 0.00 0.28 0.82 0.78 0.64 0.00 0.00 KI 0.20 0.08 0.00 0.20 0.07 0.28 0.56 0.59 0.39 KI 0.00 0.00 0.20 0.07 0.29 0.00 0.28 0.64 KI 0.00 0.00 0.20 0.07 0.29 0.00 0.28 0.50 KI 0.00 0.00 0.05 0.05 0.50 0.70 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 0.25 | 7 | R | 0.88 | 7000 | 0.03 | 70.0 | 0.45 | 0.18 | 0.45 | 0.30 | 00.66 | 0.10 |
| RI 0.14 0.15 0.04 0.15 0.04 0.11 0.10 0.23 RI 0.20 0.00 0.00 0.00 0.00 0.00 0.00 0.00 RI 0.24 0.13 0.00 0.28 0.82 0.78 0.64 0.63 0.72 RI 0.20 0.08 0.00 0.28 0.82 0.78 0.64 0.63 0.72 RI 0.20 0.08 0.00 0.20 0.07 0.29 0.64 0.63 0.64 RI 0.00 0.00 0.20 0.07 0.29 0.00 0.28 0.64 RI 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 RI 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 RI 0.03 0.04 0.05 0.05 0.00 0.00 0.00 0.00 0.00 | | | 0.00 | 0.04 | 0.94 | 0.96 | 0.87 | 96.0 | 06.0 | 16.0 | 18.0 | 0.92 |
| KI 0.20 0.00 0.00 0.00 0.00 0.00 0.00 0.00 KI 0.24 0.13 0.00 0.28 0.82 0.78 0.64 0.63 0.72 KI 0.20 0.08 0.00 0.20 0.07 0.28 0.64 0.63 0.72 KI 0.20 0.08 0.00 0.20 0.07 0.28 0.64 0.63 0.72 KI 0.00 0.00 0.00 0.20 0.07 0.28 0.64 0.64 KI 0.00 0.00 0.20 0.07 0.29 0.00 0.64 0.64 KI 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 KI 0.89 3.21 0.90 0.84 0.68 0.92 0.48 0.50 0.00 0.00 0.00 KI 0.84 0.85 0.82 0.81 0.87 0.18 < | 0 | 4 6 | 1000 | 0.19 | 0.00 | 0.04 | 0.15 | 0.04 | 0.11 | 0.10 | 0.23 | 60.0 |
| Rf 0.24 0.13 0.00 0.28 0.82 0.78 0.64 0.63 0.72 K' 3.17 6.69 0.00 0.20 0.22 0.28 0.56 0.59 0.39 K' 4.00 11.50 0.00 0.00 0.20 0.07 0.29 0.00 0.28 0.64 K' 4.00 11.50 0.00 0.00 0.00 0.00 0.00 0.05 0.24 0.05 0.27 0.05 0.06 0.77 0.05 0.06 0.70 0.43 K' 0.89 3.21 0.90 0.08 0.08 0.09 1.00 0.04 0.05 0.00 0.06 0.01 0.01 0.00 0.05 0.00 < | • | 2 3 | 0.00 | 00.0 | 0.00 | 0.00 | 00.0 | 0.00 | 0.00 | 00.00 | 00.0 | 00.0 |
| K7 3.17 6.69 0.00 0.28 0.82 0.78 0.64 0.63 0.72 R1 0.20 0.08 0.02 0.22 0.28 0.56 0.59 0.39 K1 0.00 0.08 0.00 0.20 0.07 0.29 0.00 0.28 0.64 K2 4.00 11.50 0.06 0.06 0.06 0.05 0.27 0.28 0.64 0.64 K2 0.00 0.00 0.06 0.05 0.05 0.07 0.05 0.05 0.05 K3 0.53 0.24 0.90 0.84 0.68 0.92 0.48 0.56 0.09 K1 0.89 3.21 0.11 0.19 0.47 0.09 1.08 0.79 0.79 K2 0.96 0.18 0.82 0.81 0.87 0.15 0.90 0.81 K2 0.06 0.18 0.14 0.23 0.15 0.11 | 6 | ž | 0.74 | 0.13 | 00.0 | 000 | | | | | , | |
| RI 0.20 0.28 0.28 0.56 0.59 0.39 RI 0.20 0.08 0.00 0.20 0.07 0.29 0.00 0.28 0.56 RI 0.00 11.50 4.00 13.29 2.45 2.57 0.56 RI 0.00 0.00 0.05 0.50 0.77 0.05 0.06 0.70 RI 0.53 0.24 0.90 0.84 0.68 0.92 0.48 0.56 no RI 0.89 3.21 0.11 0.19 0.47 0.09 1.08 0.56 no RI 0.89 0.82 0.88 0.81 0.87 0.15 0.79 availi K' 0.06 0.18 0.22 0.14 0.23 0.15 0.15 0.11 0.23 | | 2 | 71.6 | 6.1.3 | 000 | 0.28 | 0.82 | 0.78 | 0.64 | 0.63 | 0.72 | 0.86 |
| K/t 4.00 0.20 0.07 0.29 0.00 0.28 0.64 R/t 4.00 13.29 2.45 0.00 0.28 0.64 K/t 0.00 0.00 0.06 0.05 0.50 0.77 0.05 0.70 0.56 K/t 0.89 3.21 0.19 0.84 0.68 0.92 0.48 0.56 0.79 0.79 0.79 avail R/t 0.94 0.85 0.82 0.88 0.81 0.87 0.15 0.79 avail K/t 0.06 0.18 0.22 0.14 0.23 0.15 0.15 0.90 0.81 | 10 | i a | 0.00 | 00.00 | 90 | 2.57 | 0.22 | 0.28 | 0.56 | 0.59 | 0.39 | 0.16 |
| Rf 0.00 0.00 0.06 0.05 0.50 0.77 0.05 0.77 0.05 0.70 0.70 0.05 0.70 0.70 0.05 0.70 0.70 0.05 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.73 0.70 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.73 0.79 avail R/ 0.06 0.18 0.82 0.81 0.81 0.87 0.15 0.79 0.81 R/ 0.06 0.18 0.22 0.14 0.23 0.15 5.67 0.11 0.23 | 5 | 2 3 | 0.20 | 0.00 | 00.00 | 0.20 | 0.07 | 0.29 | 00.00 | 0.28 | 0.64 | 0.71 |
| K 0.00 0.05 0.50 0.77 0.05 0.06 0.70 RI 0.53 0.24 0.90 0.84 0.68 0.92 0.48 0.56 0.043 K 0.89 3.21 0.11 0.19 0.47 0.09 1.08 0.56 no RI 0.94 0.85 0.82 0.88 0.81 0.87 0.15 0.79 avail K' 0.06 0.18 0.22 0.14 0.23 0.15 5.67 0.11 0.23 | - | 4 0 | 00.4 | 00.11 | | 4.00 | 13.29 | 2.45 | | 2.57 | 0.56 | 0.41 |
| RI 0.53 0.24 0.90 0.84 0.68 0.92 0.48 0.56 no K 0.89 3.21 0.11 0.19 0.47 0.09 1.08 0.79 no RI 0.94 0.85 0.82 0.88 0.81 0.87 0.15 0.90 0.81 K' 0.06 0.18 0.22 0.14 0.23 0.15 0.11 0.21 | = | 2 3 | 00.0 | 00.0 | 90.0 | 0.05 | 0.50 | 0.77 | 0.05 | 0.00 | 0.70 | 0.77 |
| K 0.39 0.24 0.90 0.84 0.68 0.92 0.48 0.56 no R/ 0.89 3.21 0.11 0.19 0.47 0.09 1.08 0.79 avails R/ 0.04 0.85 0.82 0.88 0.81 0.87 0.15 0.90 0.81 K/ 0.06 0.18 0.22 0.14 0.23 0.15 5.67 0.11 0.23 | 13 | 4 0 | 650 | | 15.67 | 19.00 | 1.00 | 0.30 | 19.00 | 15.67 | 0.43 | 0.30 |
| Rf 0.09 3.21 0.11 0.19 0.47 0.09 1.08 0.79 available Rf 0.04 0.85 0.82 0.88 0.81 0.87 0.15 0.90 0.91 K' 0.06 0.18 0.22 0.14 0.23 0.15 5.67 0.11 0.23 | 4 | 2 3 | 0.00 | 3.23 | 06.0 | 0.84 | 89.0 | 0.92 | 0.48 | 0.56 | 011 | data |
| K 0.06 0.18 0.82 0.88 0.81 0.87 0.15 0.90 0.8 K 0.06 0.18 0.22 0.14 0.23 0.15 5.67 0.11 0.2 | 7 | 4 0 | 0.09 | 3.21 | 0.11 | 0.19 | 0.47 | 0.00 | 1.08 | 0.79 | avail | able |
| 0.00 0.18 0.22 0.14 0.23 0.15 5.67 0.11 | 2 | 2 3 | 70.0 | 0.85 | 0.82 | 0.88 | 18.0 | 0.87 | 0.15 | 06.0 | 0.81 | |
| - | | * | 0.00 | 0.18 | 0.22 | 0.14 | 0.23 | 0.15 | 5.67 | 0.11 | 0.23 | 0.00 |

| | 4 | n Turib | AND THEIR ANALOGEON BOLVAMINE TIC BLATES | | INE TI C DI | 0.00 |
|-----|--|-----------|--|---|-------------|-------------------|
| | 2 | Z VIIII Z | COCANA | AND THEIR ANALOGS ON POLYAMIDE TLC PLATES | コンピーコントロ | ALES |
| | | | peta | beta CD | hydroxyp | hydroxypropyl BCD |
| cpd | | OMCD | 0.1M CD | 0.1M CD | 0.1M CD | 0.1M CD |
| # | | 4M UREA | NO UREA | 4M UREA | NO UREA | 4M UREA |
| - | Z | | 0.30 | 0.70 | 99.0 | 89.0 |
| | 노 | | 2.33 | 0.43 | 0.52 | 0.47 |
| 7 | Z | 60.0 | 0.30 | 0.65 | 69.0 | 69.0 |
| | Т | 10.11 | 2.33 | 0.54 | 0.45 | 0.45 |
| 3 | R | 0.05 | 0.23 | 09.0 | 0.59 | 09.0 |
| | <u>.</u> 4 | 19.00 | 3.35 | 19.0 | 69.0 | 0.67 |
| 4 | X : | 00.00 | 0.00 | 00.0 | 00.00 | 0.00 |
| 10 | Z Z | 0.24 | 0.38 | 0.49 | 0.62 | 0.64 |
| | * | 3.17 | 1.63 | 1.04 | 0.61 | 0.56 |
| 9 | R | 60.0 | 0.18 | 0.34 | 0.40 | 0.49 |
| | 7 | 10.11 | 4.56 | 1.94 | 1.50 | 1.04 |
| 7 | R | | 0.51 | 99.0 | 0.79 | 0.73 |
| | × | 2.57 | 96.0 | 0.52 | 0.27 | 0.37 |
| ∞ | \\ \frac{\pi}{2} \\ \frac{1}{2} \\ \ | 00.00 | 0.00 | 00.00 | 00.00 | 00.00 |
| 6 | 4 2 | 0.04 | 0.48 | 0.75 | 0.86 | 0.81 |
| | Α. | 24.00 | 1.08 | 0.33 | 0.16 | 0.23 |
| 10 | Z | 0.00 | 0.00 | 0.04 | 0.22 | 0.17 |
| | ¥ | | | 24.00 | 3.55 | 4.88 |
| = | R | 00.00 | 0.21 | 0.61 | 99.0 | 0.64 |
| | * | | 3.76 | 0.64 | 0.52 | 0.56 |
| 12 | R | 0.02 | 90.0 | 0.27 | 00.00 | 00.00 |
| | 7 | | 15.67 | 2.70 | | |
| 13 | ~ | | 0.54 | 0.57 | 0.67 | 0.62 |
| | <u>.</u> | 3.76 | 0.85 | 0.75 | 0.49 | 0,61 |

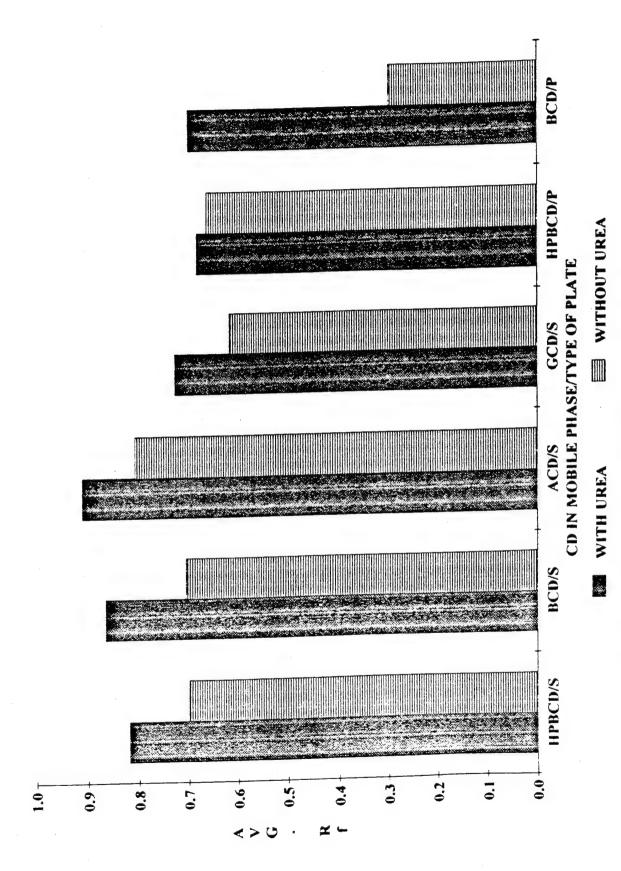


FIGURE 3. EFFECT OF UREA ON THE R. OF P-NITROANILINE WITH VARIOUS CYCLODEXTRINS ([CD] = 0.1 M) IN THE MOBILE PHASE USING SILICA GEL (S) AND POLYAMIDE (P) PLATES

migration on the silica gel as well as on the polyamide plates. Similar trends were observed for compounds 4 - 13 with all of the CDs used in this study.

TLC data can also be used to obtain a measure of the stability or binding constant for the inclusion complex formed between a compound and a particular CD in the mobile phase. For this purpose, retardation factors (Ris) were obtained for each compound using a number of different CD concentrations in the mobile phase. In this study, the CD concentrations used were 0, 0.025, 0.05 and 0.1 M CD. Urea, 4 M, was present in the mobile phases when β -CD and hydroxypropyl- β -CD were used. The retardation factors were then related to the [CD] using the equation given in the Experimental section.

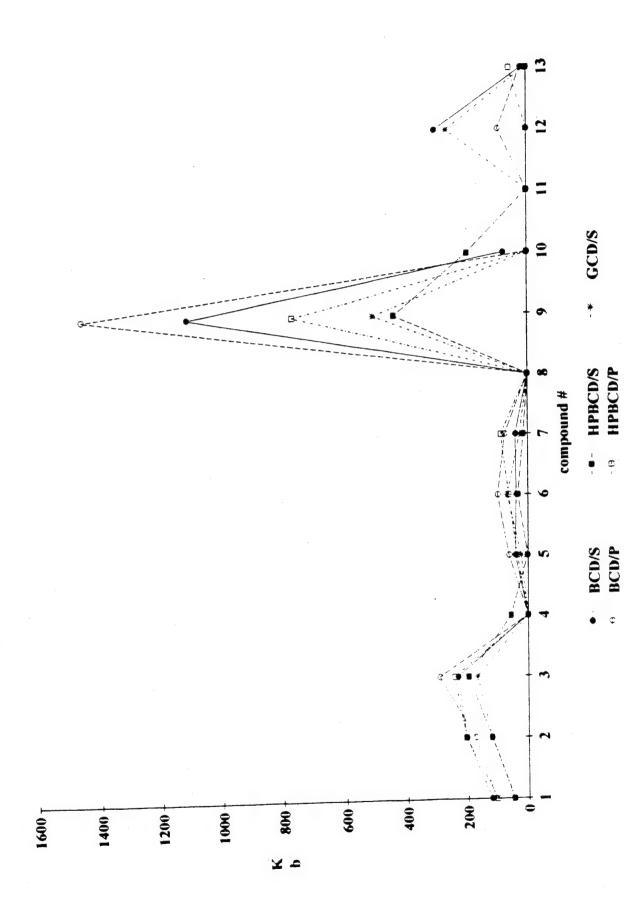
It was observed that plots of $R_f/(1-R_f)$ vs [CD] were fairly linear at low concentrations of CDs. These linear portions of the plots were used to obtain the values of slope/intercept or K_b , the equilibrium binding (stability) constants for the solute-CD complexes formed. Thus the K_b constants for compounds 1-13 were calculated for complexation with $\alpha-$, $\beta-$, $\gamma-$ and hydroxypropyl- β -CDs from R_f values obtained on silica gel plates. K_b constants for compounds 1-13 were also determined for complexation with $\beta-$ and hydroxypropyl- β -CDs using R_f values obtained on polyamide plates. These results are presented in graphic form in Figure 4.

The binding constants for complexes with α-CD were not included in this Figure since they were considerably out of line from the binding constants obtained for complexation with the other CDs used in this study. Thus, for example, the p-nitroanilines 1 - 3 showed outstandingly large binding constants for complexation with α-CD and a small to zero K_b was found for complexation of 4-aminobenzophenone (9) with α-CD (see Table 5). This was not altogether surprising, since α-CD has the smallest inner diameter of all of the CDs used in this study. Thus guest compound size limitations as well as different α-CD guest compound complex stoichiometries may play a role in complexation involving α-CD.

ैंट, इ. TABLE 4.. COMPARISON OF AVERAGE R₁ VALUES OF P-NITROANILINES 1-3 ON SILICA GEL AND POLYAMIDE TLC PLATES WITH VARIOUS CONCENTRATIONS OF β-CYCLODEXTRIN IN AQUEOUS UREA MOBILE PHASES

| | SILICA | PLATES | |
|----------------|----------|-----------|--------------------|
| 4M UREA | PNA(1) | N-MPNA(2) | N-EPNA(3) |
| [βCD],M | AVG Rr | AVG Rr | AVG Rr |
| 0 | 0.489 | 0.262 | 0.135 |
| 0.025 | 0.794 | 0.683 | 0.516 |
| 0.05 | 0.865 | 0.791 | 0.662 |
| 0.1 | 0.863 | 0.880 | 0.642 |
| | POLYAMII | DE PLATES | |
| 4M UREA | PNA(1) | N-MPNA(2) | N-EPNA(<u>3</u>) |
| [βCD],M | AVG Rr | AVG Rr | AVG Rr |
| 0 | 0.130 | 0.087 | 0.043 |
| 0.025 | 0.357 | 0.338 | 0.274 |
| 0.05 | 0.496 | 0.440 | 0.360 |
| 0.1 | 0.701 | 0.649 | 0.597 |

| SOLUTE (TLC DATA | | | | | PHASE | AS DET | ERMIN | ED FROM |
|-----------------------------------|-----|----|----|---|-------|--------|-------|---------|
| cpd # | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 - 13 |
| K _b *, M ⁻¹ | 3.4 | 11 | 14 | 0 | 1.2 | .72 | .53 | 0 |



DETERMINED FROM TLC DATA ON SILICA GEL (S) AND POLYAMIDE (P) PLATES. (HPBCD = hydroxypropyl-beta-cyclodextrin, BCD = beta-cyclodextrin, FIGURE 4. COMPARISON OF STABILITY CONSTANTS (Kb, M⁻¹) FOR CYCLODEXTRIN-SOLUTE COMPLEXES IN THE MOBILE PHASE AS GCD = gamma-cyclodextrin)

Otherwise, as shown in Figure 4, remarkable overall similarity was noted for the trends in Kb values for the complexation of compounds 1 - 13 with $\beta - \gamma$ and hydroxypropyl- β - CDs. Particularly noteworthy is the fact that similar trends for Kb values were observed on either polyamide or silica gel plates. In view of the ready availability and ease in handling of silica gel plates, this observation may be of practical consideration when use of TLC with CD-containing aqueous mobile phases is being considered.

As can be seen in Figure 4, it is evident that for most of the solutes examined (with the exception of compounds 9 and 12), the K_b values for binding of solutes to native β -CD are roughly the same as those observed for their binding to the derivatized hydroxypropyl- β -CD. This is important in view of the fact that many applications now are shifting useage from native β -CD to derivatized- β -CD. The finding that the binding interactions are similar enables one to approximate a binding constant for hydroxypropyl- β -CD if a binding constant is already available for native β -CD. In addition, this finding is in general agreement with luminescence-determined binding constants for native β -CD and the hydroxypropyl- β -CD derivatives for other series of solutes.

2. Analysis of cyclodextrin complexes with p-nitroanilines and their analogs using solid state UV reflectance spectroscopy.

Solid state cyclodextrin inclusion complexes have been distinguished from physical admixtures on the basis of X-ray powder diffraction patterns and DSC studies. We wish to report that solid state UV reflectance spectroscopy can also be used for this purpose. Solid state UV reflectance spectra were obtained for p-nitroaniline, its analogs, and their complexes with alpha- and beta-cyclodextrins as well as their physical mixtures with alpha- and beta-cyclodextrins (1:1 mole ratio).

Alpha- and beta-cyclodextrins showed nearly flat-line solid state UV reflectance spectra over the range 200 - 500 nm. First derivative spectra were also collected. Discrete and characteristic solid state UV spectra were obtained for each aniline analog, its cyclodextrin complex and its physical mixture with cyclodextrin. The spectra of complexes were generally different from the spectra of mixtures. In some cases, these differences could be seen even more markedly by taking first derivative spectra. Our results indicate that solid state UV reflectance spectroscopy is a viable method for differentiating between solid cyclodextrin inclusion complexes and physical mixtures.

Instrumentation used in this study included the following:

- 1) a Perkin Elmer Lambda 2 UV-VIS Spectrophotometer
- 2) Matching quartz cells (path= 0.5 mm)
- 3) RSA-PE-20 Reflectance Spectroscopy Accessory for the Perkin Elmer Lambda 2 Spectrophotometer
- 4) W.S.Tyler, Inc. sieve shaker-RX86
- 5) Fisher Scientific Co. -USA Std. Testing Sieve(ASTME-11specification); 60 mesh(Tyler equivalent)- particle size 250μm
- 6) Glenn Mills Inc.- Fritsch "pulverisette 2"-automatic laboratory mortar- grinder-type P2

Samples for this study were prepared in sets of four: 1)the parent compound,2) the solid inclusion complex of parent compound with cyclodextrin (α or β), 3) the physical admixture of the parent compound with cyclodextrin (α or β), and 4) the plain cyclodextrin. To take the actual spectra samples were then placed in matching quartz UV cells with a cell path of 0.5mm. (These were chosen because of the small amount of sample necessary to fill them). Reproducible spectra were obtained when larger cells were used.

- 1) Parent compounds were recrystallized from appropriate organic solvents, dried, mill ground, and sifted through the sieve (250 μ m) using the sieve shaker.
- 2) Solid inclusion complexes (1:1 Mole ratios) were prepared by dissolving the appropriate amount guest (parent) compound($1.31x10^{-2}$ moles of parent for α -cd complexes or $5.4x10^{-3}$ moles of parent for β -cd complexes) in a minimum amount of diethyl ether (never less than 100ml) and layering this ether solution over a near saturated aqueous solution of the appropriate cyclodextrin[(concentrations = $12.7g \alpha$ -cd/100ml $H_2O(1.31x10^{-2}$ moles) or $6.15g \beta$ -cd/300ml H_2O ($5.4x10^{-3}$ moles)].* The two layer mixture was gently stirred overnight or longer until all of the ether had evaporated. The precipitate which formed slowly as the ether evaporated was collected by suction filtration and left on the vacuum overnight to dry. The dry complex was then ground by hand and sifted(250 μ m) using the sieve shaker.
- 3)Physical admixtures (1:1 Mole ratios) were prepared by separate mill grinding of the parent compounds and of the cyclodextrins and sifting each substance separately (250µm) using the sieve shaker. The parent (guest) compound was then added to an equal molar amount of cyclodextrin and the two substances were hand mixed. This was done to decrease any complexation resulting from the intimate grinding of the mill.
 - 4)Plain α and β -cyclodextrin were ground and sifted as above.

* Note-

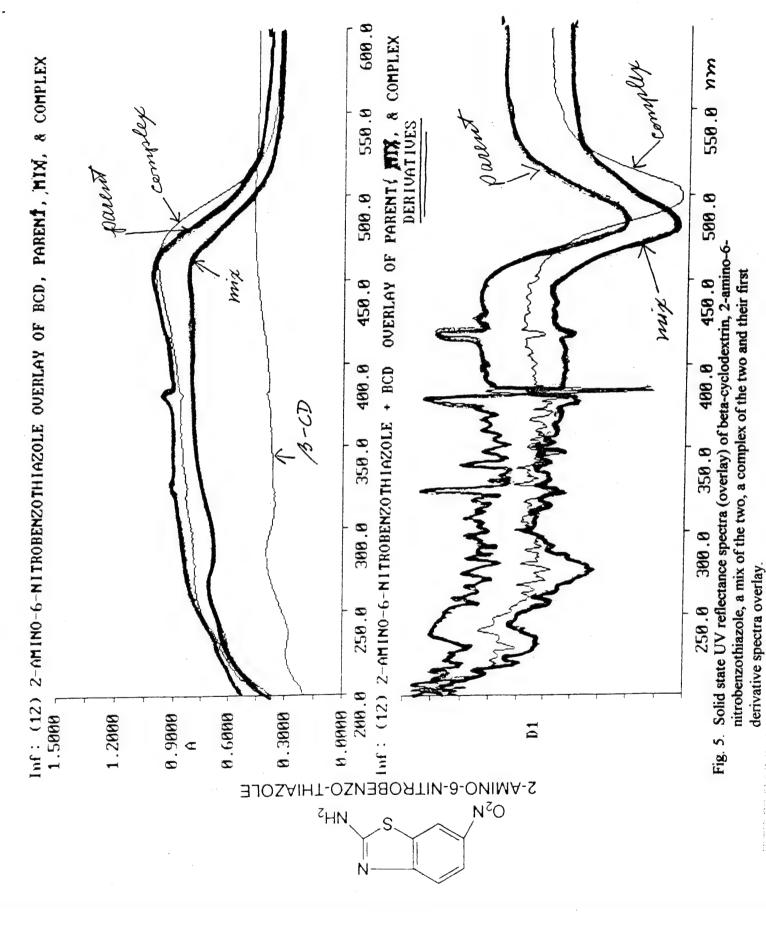
The actual molar amounts varied for α -cd and β -cd because of their water solubilities but the mole ratio between guest compound and cyclodextrin was always 1:1.

Solid state UV reflectance spectra were taken for compounds 1-17 and their complexes and physical admixtures with α –CD and β -CD. Generally, discrete and characteristic spectra were obtained for each compound as well as for its complex and for its physical admixture with a particular CD. Since the solid state UV spectra peaks were very broad and shallow, it was difficult to assign lambda max values to them. However, the overall shapes and relative intensities of these spectra are highly reproducible. Thus, a particular guest, its cyclodextrin complex and its 1:1 guest:cyclodextrin physical admixture show the same relative patterns of scans each time, even when using different samples, different cells or different reflectance spheres.

For compounds 1- 8, 11 and 14 - 16, the complexes and admixtures with α -and/or β -CD were blue shifted from the corresponding parent compound. For compounds 9,10 and 13; there was no blue shift and for compound 12, the complex was actually red shifted with both α - and β -CD. In most cases, the spectra of the complexes were different than the spectra of the admixtures. This was particularly evident for the complexes and admixtures formed with the guest compounds 1-4, 8, 9, 11, 12, 14-17 and α - or β -CDs. The complexes and admixtures were similar for guest compounds 5 and 6 with α -CD and for guest compound 7 with β -CD. In these cases it is speculated that instead of inclusion complexation, coprecipitation may have taken place.

In some cases, the differences between the UV scans for the parent (guest) compound, its complex and its admixture with cyclodextrin could be further accentuated by taking first derivative spectra. A striking example of this is shown for the complexes and admixtures formed with guest compound 12, (see Fig. 5.).

In conclusion, our results indicate that in many cases, solid state UV reflectance spectroscopy is a viable method for differentiating between solid cyclodextrin-guest inclusion complexes and cyclodextrin-guest physical admixtures.



-21-

3. Solution UV analyses and the determination of isobestic points.

Aqueous solutions of compounds 1 - 14 were examined by UV spectroscopy and their UV absobance was noted. These compounds were also examined for isobestic points over a beta-cyclodextrin concentration range of 0 - 1.4x10⁻² M. The results are noted in Table 6. Compounds 13 and 14 were not sufficiently soluble to give good UV spectra. Isobestic points were obtained for compounds 1 - 3 and 7 - 10, 12 and possibly for compound 11. The other compounds did not show isobestic points. It was noted that compounds which showed the largest Kbs with beta -cyclodextrin (from TLC results) also showed isobestic points with beta-cyclodextrin solutions (from UV results). Compounds which had low Kb values generally did not show clear-cut isobestic points (compound 8 was an exception). Thus both Kb values and isobestic points indicate the existance of 1:1 complexes with beta-cyclodextrin for many of these compounds.

4. Second Harmonic Generation from p-nitroaniline analogs and their complexes and mixtures with cyclodextrins.

Solid inclusion complexes were prepared from p-nitroanilines and their analogs with α - and β -cyclodextrins. (A few complexes were also prepared using hydroxypropyl- β -cyclodextrin). For details on the preparation of the parent compound samples, the solid inclusion complexes and the physical admixtures, refer to page 19 of this report. The parent compounds, solid 1:1 inclusion complexes and physical 1:1 admixtures were then examined for second harmonic generation by laser frequency doubling techniques. All of the second harmonic generation measurements were performed at Howard University. The results are given relative to urea, used as a standard for comparison. As can be seen in Tables 7 and 8, only in very few instances did the complexation with cyclodextrins increase the second harmonic generation when compared to the parent compounds.

7986 6. UV ABSORPTION AND ISOBESTIC POINTS FOR ANILINE/ANALOG COMPOUNDS 1-17 IN AQUEOUS SOLUTIONS.

 $\beta\text{-}CYCLODEXTRIN$ Concentration range 0 - 1.4 \times 10^{-2}M

| ≠ GAD | COMPOUND NAME | у шах, пш | SOBESTIC POINT OR OBSERVATION | OR OBSERVATION |
|-------|----------------------------------|-----------|-------------------------------|--|
| 1 | 4-NITROANLINE | 380 | 371 nm | |
| 2 | N-METHYL-4-NITROANILINE | 480 | 397 пт | |
| 3 | N-ETHYL-4-NITROANILINE | 410 | 398 nm | |
| 4 | 4-NITROANISOLE | 315 | | |
| 5 | 4-AMINOBENZONITRILE | 268 | osi ou | no isobestic points found |
| 9 | 4-NITROPHENOL | 316 | _ | |
| 7 | 4-AMINOBENZOIC ACID | 278 | 278 nm | |
| 80 | 4-NITROTOLUENE | 281 | 261 nm | |
| 6 | 4-AMINOBENZOPHENONE | 331 | 323 nm | |
| 10 | 1-AMINO-4-NITRONAPTHALENE | 441 | 461 nm | |
| 11 | 4-AMINO-4'-NITRODIPHENYL SULFIDE | 351 | 385 nm - almost | almost all absorbances cross here |
| 12 | 2-AMINO-6'-NITROBENZOTHIAZOLE | 358 | 370 nm | |
| 13 | 4-ACETAMIDOPHENOL | | ~ | 21 |
| 14 | 4-NITROBENZOPHENONE | | get go | water squarrity too row to get good uv spettra |
| 15 | 2-HETHYL-4-NITROANILINE | 385 | | |
| 16 | 2-CHLORO-4-NITROANILINE | 374 | isobes | isobestic points not |
| 1.7 | 2,6-DICHLORO-4-NITROANILINE | 376 | determ | nined |

SHG STUDIES ON ANILINE AND ITS ANALOGS WITH AND WITH &CYCLODEXTRINA) TABLE 7.

| ANTLINE or | | SHC | 3 MEASU | SHG MEASUREMENTS RELATIVE TO UREA | UREA | | | |
|--------------|------------------------|-----------------------------|----------------|------------------------------------|----------------------|------------------------------------|---------|---|
| (see Fig. 2) | Parent an or analog | Parent aniline or analog | 1:1 C 8-cyc | 1:1 Complex with 8-cyclodextrin | 1:1 Mix β ··cyclc | 1:1 Mixture with 8 cyclodextrin | Residue | Residue left after complex formation |
| | ratio | raw data | ratio | raw data | ratio | raw data | ratio | rav data |
| 1 | .01 | t | 20 | 1 | 5 | 1 | i | 1 |
| 2 | 0 | 1 | 90. | ı | .01 | ı | ı | ı |
| 6 | .005 | ı | 160 | 1 | 0 | t | ı | 1 |
| 4 | 600. | 4/450 | 700. | 2/450 | 0 | 0/450 | ı | ı |
| 5 | .03 | 15/450 | .02 | 8/450 | .01 | 6/450 | 600. | 4/450 |
| 9 | 0 | 0/450 | .01 | 5/450 | -004 | 2/450 | ı | 1 |
| 7 | 0 | 0/450 | по со | no complex formed | 0 | 0/450 | 60. | 40/420 |
| 8 | 0 | 0/450 | .03 | 15/450 | .01 | 3/450 | . 1 | ı |
| 6 | 40 | 400/10 | 1 | 450/450 | | 650/70 | ı | 100/450 |
| 10 | 700. | 2/450 | 700 | 2/450 | .01 | 4/450 | ı | 1 |
| П | 10 (8) | 600/60 (400/50 recr) | .78 | 350/450 | 9.2 | 375/60 | i | ı |
| 12 | 0 | 0/200 | 0 | 0/200 | .01 | 4/450 | 1 | 1 |
| 13 | 0 | í | 0 | | 0 | I. | 0 | ı |

SHG MEASUREMENTS RELATIVE TO UREA (CONTINUED)

| Residue left after complex formation | ratio raw data | 0/100 | 0/100 | 0/100 | 0/100 | |
|---|----------------|-------|---------|----------|-------|--|
| Residu | ratio | 0 | 0 | 0 | 0 | |
| 1:1 Mixture with β .cyclodextrin | ratio raw data | 0/100 | 600/100 | 350/100 | 0/100 | |
| 1:1 M β ··cyc | ratio | 0 | 9 | 3.5 | 0 | |
| 1:1 Complex with \beta-cyclodextrin | raw data | 0/100 | 70/100 | 0/100 | 0/100 | |
| 1:1 (\(\beta\)-cyc | ratio | 0 | .7 | 0 | 0 | |
| Parent aniline or analog | ratio raw data | 0/100 | 700/100 | 1000/100 | 0/100 | |
| Parent ani or analog | ratio | 0 | 7 | 10 | 0 | |
| ANTLINE or ANALOG (see Fig. 2) | | 14 | 15 | 16 | 17 | |

a) All SHG studies performed at Howard University.

Note -native $\alpha\text{-CD}$, β -CD and hydroxypropyl β -CD give an SHG 0/450 reltative to urea.

SHG STUDIES ON ANILINE AND ITS ANALOGS WITH AND WITHOUT α -CYCLODEXTRIN^a) 74BLE 8.

SHG MEASUREMENTS RELATIVE TO UREA

| • | ANILINE OF ANALOG | | | | | | | | |
|----|-------------------|------------------------|-----------------------------|------------------|--|--------------------|---------------------------------|--------------------|---|
| | (see Fig. 2) | Parent an or analog | Parent aniline or analog | 1:1 Co α-Cycl | <pre>1:1 Complex with α-Cyclodextrin</pre> | 1:1 Mix c -Cycl | 1:1 Mixture with G-Cyclodextrin | Residue complex | Residue left after complex formation |
| | | ratio | raw data | ratio | raw data | ratio | raw data | ratio | raw data |
| | = | .01 | i | .005 | | 0 | 1 | 1 | 1 |
| - | 2 | 0 | ı | 0 | ı | 0 | ı | ţ | ı |
| | 3 | .003 | 1 | .005 | 1 | 0 | | ŀ | ı |
| = | 4 | 0 | 0/420 | 0 | 0/200 | 0 | 0/200 | ı | 1 |
| | 5 | 0 | 15/450 | .01 | 3/450 | .02 | 8/450 | ı | ı |
| 26 | . 9 | 0 | 0/420 | 0 | i | 0 | í | ł | ı |
| _ | 7 | 0 | 0/420 | .02 | 10/450 | 0 | 0/450 | 0 | 0/200 |
| | 82 | 0 | 0/420 | 0 | 1 | 0 | 1 | , | ı |
| | 6 | 40 | 400/10 | 7.1 | 500/70 | 7.9 | 550/70 | ŧ | ı |
| | 10 | .004 | 2/450 | 0 | ı | 0 | ŧ | ŧ | i |
| | 11 | 4.67 | 1400/300 | 4.67 | 1400/300 | 3.33 | 1000/300 | i | 1 |
| | 12 | 0 | 0/200 | 0 | ı | 0 | 1 | i | ı |
| | 13 | 0 | 1 | 0 | 1 | 0 | ı | • | ı |

SHG STUDIES ON ANILINE AND ITS ANALOGS WITH AND WITHOUT α -CYCLODEXTRIN (CONTINUED)

| Residue left after complex formation | raw data | 0/100 | 0/100 | 1 | 0/100 |
|---|----------------|------------------|-----------|-----------|---------|
| Resid compl | ratio | 0 | 0 | | 0 |
| 1:1 Mixture with α-Cyclodextrin | o raw data | 0/100 0/120 | 600/100 | 300/100 | 0/100 |
| 1:1 α-c | ratio | 0 | 9 | ٣ | 0 |
| l:1 Complex with α-Gyclodextrin | ratio raw data | 0 0/100 0/120 | 8 800/100 | .4 40/100 | 0 0/120 |
| Parent aniline or analog | raw data | 0/100 0/120 | 700/100 | 1000/100 | 0/100 |
| Parent | ratio | 0 | 7 | 10 | 0 |
| ANTLINE OF ANALOG | | 14 | 15 | 91 | 17 |

a) All SHG studies performed at Howard University.

II. WORK WITH CYCLODEXTRIN-BIMANE COMPLEXES

1. Fluorescence and UV studies of bimane complexes with cyclodextrins

Recently, efforts have been made to find ways to enhance the fluorescence of bimanes. Cyclodextrins, cycloamyloses which form inclusion complexes with a variety of molecules, have been shown to enhance the relative fluorescence emission and excitation intensity of bimanes. Under study were five bimanes: (1) Anti-(methyl, chloro) bimane, (2) Syn-(methyl, methyl) bimane, (3) Syn-(hydroxymethyl, methyl) bimane, (4) Syn-(methyl, acetoxyethyl) bimane and (5) Syn-(acetoxymethyl, methyl)bimane. When bimanes 3,4, and 5 were dissolved in water (10^{-5} M) and complexed with either α -, β -, or γ -cylcodextrin (10^{-2} M), it was found that γ -cyclodextrin enhanced fluorescence the most, while α -cyclodextrin actually reduced the intensity of the fluorescence emission. Addition of small volumes of t-butanol in all cases enhanced the fluorescence of aqueous solutions of the above bimanes. Addition of β -cyclodextrin in conjunction with t-butanol in most cases resulted in an even slightly greater enhancement of fluorescence.

SHORT DESCRIPTION OF BIMANES

Bimane is the common name given to a bicyclic heterocyclic ring system first systematically examined in 1980. Bimanes can be considered as bicyclic derivatives of pyrazole and they exist as *syn*- or *anti*- isomers. Most *syn*-bimanes exhibit striking and strong fluorescence in solution. Their quantum yields of fluorescence often range between 0.6 and 0.9. Generally, the *syn*-bimanes are weakly phosphorescent with quantum yields less than 0.009. The *anti*-bimanes are normally non-fluorescent. However, many show strong phosphorescence with quantum yields up to about 0.45.

The bimanes used in this study are shown in Fig. 6.

Instrumentation used in this study included the following:

- 1) A Kontron SFM 25 spectrofluorometer equipped with a 150 W scaled Xenon lamp as the light source and a R 212 (200-650 nm range) photomultiplier sample detector.
- 2) A Perkin Elmer Lambda 2 UV-VIS Spectrophotometer
- 3) Matching quartz fluorometer cells (path = 10 mm)
- 4) Matching quartz UV-VIS cells (path = 10 mm)

$$R_1$$
 R_2
 R_2
 R_2
 R_3
 R_4
 R_2

 $syn - (R_2, R_1)$ bimane

| <u>R1</u> | R ₂ | | Name |
|--|------------------------------------|----|-------------------------------------|
| CH ₃ | CH ₃ | 2 | syn- (methyl, methyl) bimane |
| C1 | CH ₃ | 6 | syn- (methyl, Chloro) bimane |
| CH ₃ | сн ₂ ососн ₃ | 5 | syn- (acetoxymethyl, methyl) bimane |
| CH ₃ | CH ₂ OH | 3. | syn- (hydroxymethyl, methyl) bimane |
| сн ₂ сн ₂ ососн ₃ | СН3 | 4 | syn- (methyl, acetoxyethyl) bimane |

$$R_1$$
 R_2
 R_1
 R_2
 R_1
 R_2
 R_1
 R_2
 R_2

Fig. 6. Names and structures of selected bimanes.

A comparison study was performed on the effects of α -, β -, and γ -cyclodextrin on the UV absorption and fluorescence of aqueous solutions of bimanes using the three bimanes: syn-(methyl, acetoxyethyl) bimane, syn-(hydroxymethyl, methyl) bimane and syn-(acetoxymethyl, methyl) bimane. It was found that the addition of α -cyclodextrin to bimane solutions decreased or had no effect on the relative fluorescence intensity of the parent bimane solutions. β -Cyclodextrin addition generally resulted in a very slight increase in relative fluorescence intensity. Overall, the addition of γ -cyclodextrin enhanced the relative fluorescence intensity of the bimane solutions the most. These results are summarized in TABLE 9 and are illustrated in Fig. 7 for the case of syn-(hydroxymethyl, methyl) bimane.

The effects of the addition of α -, β - and γ -cyclodextrin on the UV absorption of bimane solutions were unexpected. γ -Cyclodextrin caused the largest decrease in the relative UV absorption of two of the three bimanes studied. β -Cyclodextrin caused similar, but less pronounced effects. Whereas α -cyclodextrin decreased the relative UV absorption of syn-(methyl, acctoxyethyl) bimane, and syn-(acctoxymethyl, methyl) bimane, it greatly increased the relative UV absorption of the syn-(hydroxymethyl, methyl) bimane. Ordinarily, fluorescence enhancement and UV enhancement go hand-in-hand. **These results are summarized in TABLE 10**.

Other research has indicated that addition of small amounts of alcohols, in conjunction with cyclodextrins, can greatly increase the fluorescence of certain organic compounds. Indeed, when a small amount of t-butanol was added to five aqueous bimane solutions, the relative fluorescence of the bimanes was markedly enhanced. Upon the addition of t-butanol and β -cyclodextrin together, the fluorescence intensity increased even more. UV absorption paralleled the fluorescence results for this aspect of the study. The effects of t-butanol on fluorescence and UV absorption are indicated in TABLES 11 and 12 respectively.

In summary, the results of this research indicate that γ -cyclodextrin is more effective than α -and β -cyclodextrins for enhancing the fluorescence of aqueous bimane solutions. Also, the addition of small amounts of t-butanol or t-butanol in conjunction with β -cyclodextrin, enhances the fluorescence intensity as well as UV absorption for aqueous bimane solutions.

Several of the bimanes were treated with varying concentrations of beta-cyclodextrin in attempts to find fluorescence isobestic points for the bimane-cyclodextrin complexes. Generally, the presence of beta-cyclodextrin induced some enhancement of bimane fluorescence. The changes in fluorescence were not sufficient to determine isobestic points, however. These results are summarized in Table 13.

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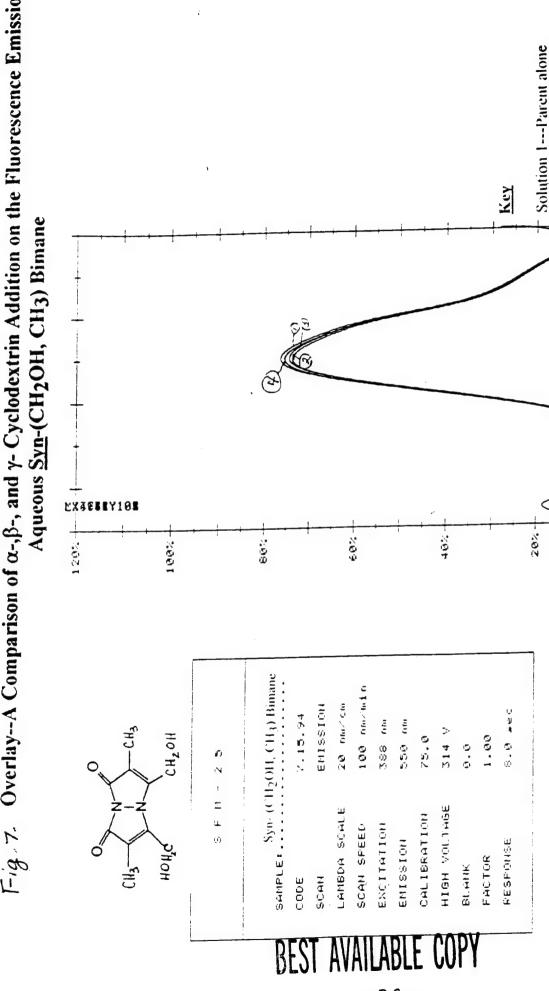
 $\mathcal{H}\theta\mathcal{L}\mathcal{E}\,g$, comparisons of α , β and χ exclodextrins (10-2m) on the pluorescence of selected bimanes (10-5)*

| CYCLODEXTRIN | $\frac{\text{syn}-(\text{CH}_3\text{ CH}_2\text{CH}_2\text{OCOCH}_3)\text{B}}{\lambda_{\text{em}}=457\text{nm},\ \lambda_{\text{ex}}=382\text{nm}}$ | H_2 0C0CH ₃)B $\lambda_{ex} = 382nm$ | $\frac{syn}{\lambda_{em}} = 474m,$ | $\frac{\text{syn}-(\text{CH}_2\text{OCOCH}_3,\text{CH}_3)\text{B}}{\lambda_{\text{em}}=474\text{m},\ \lambda_{\text{ex}}=388\text{nm}}$ | $\frac{\mathrm{syn}}{\lambda_{\mathrm{em}}} = 474\mathrm{nm}$ | $\frac{\text{syn}-(\text{CH}_2\text{OH},\text{CH}_3)\text{B}}{\lambda_{\text{em}}=474\text{nm}}\lambda_{\text{ex}}=388\text{nm}$ |
|--------------|---|---|------------------------------------|---|---|--|
| NONE | 79.1% | 78.1% | 74.1% | 75.3% | 77.1% | 76.2% |
| α-CD | 78.7% | 76.2% | 74.1% | 75.3% | 74.6% | 73.9% |
| B-cD | 79.3% | 78.2% | 74.7% | 75.6% | 75.4% | 73.8% |
| y-CD | 80.7% | 71.62 | 75.6% | 76.3% | 77.3% | 75.4% |

Fluorescence determinations were made within 24 hrs | * Aqueous solvt.ions were prepared using deionized water. after addition of cyclodextrin. After comparing the fluorescence emission and excitation of the parent bimane solutions to the fluorescence emissions and excitations after the addition of α -, β -, or γ -cyclodextrin, the following trends were observed:

- and excitation or, as was the case with <u>Syn</u>-(CH₂OCOCH₃, CH₃) bimane, had no effect on fluorescence. 1. The addition of α -cylcodextrin to the parent bimane solutions either decreased the fluorescence emission
- B-cyclodextrin addition caused a slight enhancement of the fluorescence emission and excitation of two of the three compounds studied. Fluorescence was decreased when β-cyclodextrin was added to the Syn-(CH₂OH, CH₃) bimane solution. **℃**i
- dextrin Syn-(CH2OH, CH3) bimane was the only compound whose relative fluorescence excitation decreased after the addition of The relative fluorescence of the parent bimane solutions was most enhanced by the addition of γ -cycloy-cyclodextrin

1-19.7. Overlay--A Comparison of α-,β-, and γ- Cyclodextrin Addition on the Fluorescence Emission



Solution 2---Parent + \alpha\-eyelodex

Solution 3---Parent + β-cyclodex Solution 4---Parent + γ-cyclodex

550 rm

200

7981E10 COMPARISONS OF a, B AND Y CYCLODEXTRINS (10-2M) ON THE UV ABSORPTION OF SELECTED BIMANES (10-5)*

| CYCLODEXTRIN | syn-(CH ₃ , CH λmax255 | syn-(CH ₃ , CH ₂ CH ₂ 0C0CH ₃)B λ _{max} 255 λ _{max} 390 | syn-(CH ₂ OCOCH ₃ , CH ₃)B λmax 262 λmax 398 | 0СН3, СН3)В ^Л мах 398 | syn-(CH ₂ 0H,CH ₃)B λ _{max} 262 λ _{max} 398 | ,СН3)В ^{Лиах} 398 |
|--------------|--------------------------------------|---|---|-------------------------------------|---|-------------------------------|
| NONE | . 0798 | 6980. | .0828 | .0783 | 6990. | .0692 |
| alpha-CD | .0617 | .0753 | .0802 | .0749 | .0948 | .0835 |
| beta-CD | .0529 | .0750 | .0823 | .0760 | 0860. | .0861 |
| gamma~CD | .0500 | .0748 | 0690. | .0740 | .0795 | .0850 |

^{*} Aqueous solutions were prepared using deionized water. UV determinations were made within 24 hrs after addition of cyclodextrins.

Comparisons of the UV absorption spectra of bimane solutions after the addition of α -, β -, or γ -cyclodextrin to the parent bimane solutions reveals that:

- tion of <u>Syn</u>- (CH₃, CH₂CH₂OCOCH₃) bimane and <u>Syn</u>-(CH₂OCOCH₃, CH₃) bimane. However, the relative UV absorption of the Syn-(CH2OH, CH3) bimane solution was greatly enhanced \(\beta\)-cyclodextrin addition had similar, but less drastic, The addition of γ -cyclodextrin to solutions caused the greatest decrease in the relative UV absorpeffects on the relative UV absorption of the three bimane solutions.
- While a-cyclodextrin addition decreased the relative UV absorption of the Syn-(CH₃, (CH2OCOCH3. CH3) bimane solutions, it greatly increased the relative UV absorption of

CH₂CH₂OCOCH₃) bimane and Synthe Syn (CH₂OH, CH₃) bimane

TABLE IV.

Effect of the Addition of t-Butanol With and Without 6-cyclodextrin (10⁻²M) on the Fluorescence of Selected Aqueous Bimane Solutions

PARENT BIMANE SOLUTIONS (10-5M)

| | | 1 | DAILE SOLUT | (W. OI) SNOTTOTOS THE | | | | | | |
|--|--|-------------------------------|---|--|--|---------------------------------|--|------------|------------------------------------|-----------------|
| | Syn-CH ₃ CH ₃)B λ em 474,λex 383 | СН ₃)В λех 383 | Syn-(CH ₂ OC λ em 474, | Syn-(CH ₂ OCOCH ₃ CH ₃)Β λ em 474, λ ex 388 | Syn-(CH ₂ OH ₁ CH ₃)Β λ sm 474, λex 388 | Ж ₁ СН3)В Лех 388 | Syn(CH ₃ , CH ₂ CH ₂ OCOCH ₃)B λem 455, λ αχ 381 | 120C0CH3)B | Anti(CH3,C1)Β λ em 490, λex 321 | 21)Β λex 321 |
| Parent + $10mL H_2O$ bimane | 74.1% | 74.7% | 20.89 | 20.69 | 68.6% | 67.7% | 71.6% | 71.1% | 74.3% | 75.5% |
| Parent bimane + H2O + B-cyclodextrin | 74.6 | 74.1 | 68.1 | 67.9 | 9.89 | 67.1 | 71.7 | 70.1 | 6.69 | 8.69 |
| Parent bimane + lOmL t-Butanol | 85.4 | 85.6 | 77.5 | 76.9 | 78.6 | 77.9 | 77.5 | 76.9 | 82.4 | 81.8 |
| Parent bimane + t-Butanol + β-cyclodextrin | 81.7 | 83.3 | 75.5 | 78.5 | 79.2 | 78.0 | 7.77 | 78.3 | 84.3 | 83.8 |

The following trends were observed:

-34-

- emission of the In all instances the addition of t-butanol and β -cyclodextrin enhanced the fluorescence excitation and bimane solutions more than the addition of water and β-cyclodextrin.
- In all instances the addition of t-butanol alone enhanced fluorescence excitation and emission of the bimane solutions more than the addition of an equal volume of water.
- greater relative In most cases, the addition of β -cyclodextrin in conjunction with t-butanol resulted in an even slightly enhancement of the fluorescence of the bimane solutions than the enhancement provided by t-butanol alone.

.

TABLE 12.

EFFECT OF ADDITION OF T-BUTANOL ON THE UV ABSORPTION OF AQUEOUS SOLUTIONS OF SELECTED BIMANES a) WITH AND WITHOUT 8-CYCLODEXTRIN

| CONDITIONS | $\frac{1B}{\lambda_{max}} = 380nm$ | $\frac{3B}{\lambda_{max}} = 398nm$ | $4B$ $\lambda_{max} = 398nm$ | $5B$ $\lambda_{max} = 388m$ | 7Β λ _{max} = 322nm |
|--|------------------------------------|------------------------------------|------------------------------|-----------------------------|--------------------------------|
| parent bimane 100ml, 10 ⁻² M + 10ml H ₂ 0 | .0695 | .0726 | .0786 | .0733 | .2237 |
| above b) + β-cD (10 ⁻² M) | .0700 | .0722 | .0764 | .0788 | .2230 |
| parent bimane 100ml, 10 ⁻⁵ M + 10ml t-butanol | .0712 | .0682 | 8620. | 9580. | .2418 |
| above b) + β-CD (10 ⁻² M) | 0727 | .0765 | .0816 | .0795 | .2334 |

as above.

a)

as above.

р)

TABLE 13. ATTEMPTS TO FIND FLUORESCENCE ISOSBESTIC POINTS FOR SELECTED BIMANES WITH B-CYCLODEXTRIN

| В-ср, м | 13) bimane | CH ₃) b |
|------------------------|---|---|
| aqueous solutions | 10 2 M, $^{\lambda}$ ex = 3/3nm, $^{\lambda}$ em = 4/2-4/4nm no shifts in $^{-\lambda}$ em upon additon of β -cD $^{\prime}$ change in emission intensity | 10 M, $\lambda_{\rm ex}$ = 334, $\lambda_{\rm em}$ no shifts in $\lambda_{\rm em}$ upon addition of $\beta_{\rm e}$ CD % change in emission intensity |
| 1.4 x 10 ⁻² | enhancement 3% | enhancement 8% |
| 1×10^{-2} | enhancement 3% | enhancement 4% |
| 5×10^{-3} | enhancement 1% | enhancement 3% |
| 1×10^{-3} | no change | enhancement 5% |
| 5 × 10-4 | enhancement, less than 1% | quench 4% |
| 2.5×10^{-4} | enhancement, less than 1% | dneuch 6% |
| 1 x 10 ⁻⁴ | enhancement, less than 1% $_{\circ}$ $_{\circ}$ $_{\wedge}$ | quench 7% |
| 8-ср, м | syn-(CH2OCOCH3) bimane | syn-(CH3,CH2CH2OCOCH3) bimane |
| aqueous solutions | $10^{-4}M$, $\lambda_{\rm ex}$ = 392nm, $\lambda_{\rm em}$ = 474nm no shifts in $\lambda_{\rm em}$ upon addition of β -CD % change in emission intensity | 10-4M, $\lambda_{\rm ex}$ = 382, $\lambda_{\rm em}$ = 456nm no shifts in $\lambda_{\rm em}$ upon addition of 8-CD % change in emission intensity |
| 1.4×10^{-2} | enhancement 10% | no change |
| 1×10^{-2} | enhancement 4% | enhancement 5% |
| 5 × 10-3 | enhancement 3% | enhancement 1% |
| 1×10^{-3} | enhancement 10% | enhancement 3% |
| 5 x 10 ⁻⁴ | enhancement 3% | enhancement 15% |
| 2.5×10^{-4} | enhancement 8% | enhancement 5% |
| 1 × 10 ⁻⁴ | enhancement 5% | enhancement 2.5% |

7

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2. Second Harmonic Generation from bimanes and their complexes and mixtures with cyclodextrins.

Solid inclusion complexes were prepared from selected bimanes and β -cyclodextrin. Included were examples from both syn- and anti-bimane isomers. The sample preparation for the parent compounds, solid inclusion complexes and the physical admixtures paralleled closely the procedures described on page 19 of this report. The parent compounds, solid 1:1 inclusion complexes and physical 1:1 admixtures were then examined for second harmonic generation by laser frequency doubling techniques. All of the second harmonic generation measurements were determined at Howard University. The results are given relative to urea, which was used as the standard for comparison.

As can be seen in Table 14, in most cases, the second harmonic generation was only slightly increased by complexation with β -cyclodextrin, as compared to the second harmonic generation from the parent bimane itself. However, it was noted that for some of the bimanes, the second harmonic generation was initially considerably higher for the inclusion complex, but then decayed rapidly to the values reported.

TABLE /4. SHG STUDIES ON BIMANES WITH AND WITHOUT B-CYCLODEXTRINA)

| Bimane (B) Compound | parent ratio | B raw data | 1:1 B-β-cD complex ratio raw | <pre>1:1 B-β-cD complex ratio raw data</pre> | 1:1 B-β-cD mixture ratio raw | 1:1 B-β·cD mixture ratio raw data | residue formation | residue from complex formation ratio raw data |
|---|-----------------|--------------------|------------------------------------|--|------------------------------------|---|-------------------|---|
| syn-(CH3,CH3)B | F-4 | <u>400</u> | .125 | 50 b) 400 | .125 | 50b) 400 | .35 | 140 400 |
| syn-(CH ₃ C1)B | .5 | $\frac{600}{1200}$ | .75 | $\frac{900}{1200}$ | .23 | $\frac{280}{1200}$ | .042 | <u>50</u> 1200 |
| syn-(CH ₂ OCOCH ₃ ,CH ₃)B | 0 | 300 | .067 | $\frac{20}{300}$ | 0 | 300 | 0 | 300 |
| syn-(CH ₂ OH,CH ₃)B | 0 | 300 | 0 | 300 | 0 | 300 | 0 | 300 |
| syn-(CH ₃ ,CH ₂ CH ₂ OCOCH ₃)B | 0 | 009 | .33 | 200 600 | 0 | 009 | 0 | 009 |
| $anti-(CH_3CH_3)B$ | 0 | 007 | .15 | 60 b) | 0 | 007 | 0 | 0 400 |
| $anti-(CH_3,C1)B$ | 0 | 300 | .033 | 300 | 0 | 300 | 0 | 300 |

All SHG studies performed at Howard University. All SHG measurements are given relative to urea. a)

The SHG values for these materials start out much higher, but decrease to the reported values within a few seconds. **P**

LIST OF ALL PUBLICATIONS AND TECHNICAL REPORTS

arising from research performed on ARO grant

DAAL 03-91-G-0226

I.R. Politzer, K.T. Crago, T. Hollin and M. Young, 1995. TLC of p-nitroanilines and their analogs with cyclodextrins in the mobile phase. J. Chromatographic Sci., Accepted for publication.

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